

NYSE LIFFE US INFO MEMO NO. 14/2013

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REMINDER REGARDING EXCHANGE-PROVIDED RISK CONTROLS

Summary

This Information Memo reminds Members to familiarize themselves with the documentation describing the proper use of the NYSE Liffe U.S. (the “Exchange”) provided risk controls to ensure that they are properly using these controls.

- 1.1 Exchange Notice 10/2013 provided guidance with respect to risk-based limits and automated screening controls provided by the Exchange to assist Members in addressing CFTC Regulation 1.73 obligations.
- 1.2 Members are reminded that the Risk Management System User Guide, available on the Exchange’s website, is the main source of reference material around how to properly set risk based limits via the Exchange provided web based interface. Members’ staff involved in administering the Exchange-provided risk controls should familiarize themselves with the Risk Management System User Guide to ensure that they are properly using the risk controls. This user guide can be found at :
http://globalderivatives.nyx.com/sites/globalderivatives.nyx.com/files/risk_management_system_user_guide_v1.4.pdf